IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

REQUEST FOR FILING APPLICATION
Under Rule 53(a), (b) & (f)
(No Filing Fee or Oath/Declaration)
(Do NOT use for Provisional or PCT Applications)
Use for Design or Utility Applications

RULE 53(f) NO DECLARATION

Atty. Dkt.	P 281351	T4TY-01S0006-1
	M#	Client Ref

00909

Hon. Commissioner of Patents
Washington, DC 20231

Date: June 5, 2001

Sir:

1. This is a Request for filing a new <u>Patent Application</u>(☐ Design ☒ Utility) entitled:

2. (Complete) Title: OPTICAL DISK APPARATUS AND METHOD OF ADJUSTING THE SAME

<u>without</u> a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract ___1___ page(s).

4. ___75___ Pages of Specification (only spec. and claims);   5. ☐ Specification in non-English language

6. ___34___ Numbered claim(s); and

7. ☒ Drawings: ___16___ sheet(s)   ☐ 1 set informal;   8. ☒ formal of size: ☒A4 ☐11"

9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in ___Japan___

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-169537	June 6, 2000	(2) 2000-308098	October 6, 2000
(3)		(4)	
(5)		☐ See 3rd page for additional priorities	

11. ___2___ (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) _____
in U.S. Application No. ___/___ filed on _____

12. ☐ This is a reissue of Patent No. _____

13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete item 14 or 15.)

14. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. ___/___ filed _____
No. ___/___ filed _____
No. ___/___ filed _____
No. PCT/ ___/___ filed _____, which designated the U.S. and that International Application ☐ was ☐ was not published under PCT Acticle 21(2) in English

15. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US applications

16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed

17. ☐ Small Entity Status is claimed (<u>pre</u>-filing confirmation **required**)

17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement <u>not</u> <u>essential</u> to make claim)

17(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (Pat-258)

18. ☐ Assignee (optional): _____

19. ☐ Attached:

20. This application is made by the following named inventor(s)   (Double check instructions for accuracy.):
    (Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Minoru			Yonezawa	
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(include Zip Code)					

(2) Inventor	Hiroshi			Kubota	
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(3) Inventor	Shinichiro			Arakawa	
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(include Zip Code)					

21. NOTE: FOR ADDITIONAL INVENTORS, "X" box ☐ and list additional
inventors on attached sheet (incorporated by reference)

Pillsbury Winthrop LLP
Intellectual Property Group

00909

By: Atty: Glenn J. Perry _____   Reg. No.   28458 _____

Sig: _____

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments